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## PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 03-1119)

In re Application of:

Rey-Yuh Wu

Serial No.: 10/749,323

Filed: December 31, 2003

For: Astragalus Radix and Codonopsis

Pilosulae Radix Mixed Extract for

Inhibiting Carcinogenesis and Metastasis

Examiner: Unassigned

Group Art Unit: 1642

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## TRANSMITTAL LETTER

1. We are transmitting herewith the attached papers for the above-identified patent application:

- a) Transmittal letter (in duplicate)
- b) Second Information Disclosure Statement
- c) Copies of IDS References (Total 4 References)
- d) Return Receipt Postcard

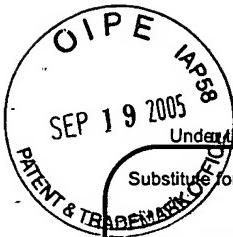
2. **GENERAL AUTHORIZATION TO CHARGE OR CREDIT FEES:** Please charge any additional fees or credit overpayment to Deposit Account No. **13-2490**. A duplicate copy of this sheet is enclosed.

3. **CERTIFICATE OF MAILING UNDER 37 CFR §1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 14, 2005

Dated: **September 14, 2005**

By:

  
A. Blair Hughes  
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<p>Substitute for form 1449/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(Use as many sheets as necessary)</p>		<b>Complete if Known</b>	
		Application Number	10/479,323
		Filing Date	December 31, 2003
		First Named Inventor	Rey-Yuh Wu
		Art Unit	1642
		Examiner Name	Not Assigned
Sheet	1	of	1
		Attorney Docket Number	
		03-1119	

<b>NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	Shenqi Strengthen Injection, Http://tumor.cn/otc/show.php?id+98, January 28, 2005 (English Translation)	✓
	2	Kurashige, S., et al. "Effects of Astragalus Radix Extract on Carcinogenesis, Cytokine Production and Cytotoxicity in Mice Treated with a Carcinogen, N-Butyl-N".	
		Butanolnitrosoamine", Cancer Invest. Vol. 17, No. 1 30-5, 1981 (Abstract only)	
	3	Kao, B-J; New Chinese Herbal Dictionary, 1981, Vol 3, pp. 142-144 (English Translation)	✓
	4	Lin, SY, et al., "Protection by Quercetin Against Cooking Oil Fumes-induced DNA Damage in Human Lung Adenocarcinoma CL-3 Cells: Role of COX-2, Nutr Cancer, Volume 44, No. 1, 95-101, 2002 (Abstract Only)	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.